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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                            | M-12473-1D US   |
|   | First Named Inventor or Application Identifier | Barbara A. Haselden; John Lee                                 |
|   | Title  | Two Stage Etching Of Silicon Nitride To Form A Nitride Spacer |
|   | Express Mail Label No.                         | EV 411 212 545US  |

  

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| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small> | <b>ADDRESS TO:</b> Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231 |
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i><br><small>(Submit an original, and a duplicate for fee processing)</small><br>2. Application:<br><input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below)<br>Descriptive title of the Invention,<br>Cross References to Related Applications,<br>Reference to Microfiche Appendix,<br>Background of the Invention,<br>Brief Summary of the Invention,<br>Brief Description of the Drawings, and<br>Detailed Description (all totaling 19 pages)<br>Appendix(ces) ___, ___, & ___ (___ pages)<br><input checked="" type="checkbox"/> Claim(s) 3 pages<br><input checked="" type="checkbox"/> Abstract of the Disclosure 1 page<br>3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113)(Total Sheets <u>3</u> )<br>4. Oath or Declaration <input type="checkbox"/> unsigned (Total Pages ___)<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d))<br><small>(for continuation/divisional with Box 17 completed)</small><br>c. <input type="checkbox"/> <del>DELETION OF INVENTOR(S)</del><br>Signed statement attached deleting inventor(s) named in the prior application,<br>see 37 CFR 1.63(d)(2) and 1.33(b).<br>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br>The entire disclosure of the prior application, from<br>which a copy of the oath or declaration is supplied<br>under Box 4b, is considered as being part of the<br>disclosure of the accompanying application and is<br>hereby incorporated by reference therein. | 6. <input type="checkbox"/> Microfiche Computer Program Appendix<br>consisting of ___ pages of microfiche containing ___<br>frames on each page in accompanying envelope.<br>7. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><br><div style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></div> 8. <input checked="" type="checkbox"/> Assignment Papers (from prior application) 4 pages<br>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br>(combined when there is an _____ with Patent Declaration<br>Assignee) _____ above.)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> ___ Copies of IDS<br>Statement (IDS) & <input type="checkbox"/> PTO-1449 Citations/References<br>References not included. Cited in parent application SN 09/451,677<br>12. <input type="checkbox"/> Preliminary Amendment ___ pages<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(should be specifically itemized)<br>14. Small Entity Status<br><input type="checkbox"/> Small Entity Statement Enclosed ___ pages<br><input type="checkbox"/> Statement filed in prior application; and<br>status still proper and desired<br><input type="checkbox"/> Is no longer claimed.<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>16. <input type="checkbox"/> Other:<br><input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln.;<br><input type="checkbox"/> |
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| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:<br><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional of prior application No. <u>10/198,825</u><br>Filed on <u>July 18, 2002</u> , entitled: <u>Two Stage Etching Of Silicon Nitride To Form A Nitride Spacer</u><br>PRIOR APPLICATION INFORMATION: <u>Examiner Lisa A. Kilday Group Art Unit 2829</u> |
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| <b>18. CORRESPONDENCE ADDRESS</b>  |  |
| Customer Number or Bar Code Label<br>Name <b>David S. Park</b><br>Attorneys for Applicant <b>MacPherson Kwok Chen &amp; Heid LLP</b><br>Address <b>1762 Technology Drive, Suite 226</b><br>City <b>San Jose</b> State <b>CA</b> Zip Code <b>95110</b><br>Country: <b>United States</b> Telephone <b>(949) 752-7040</b> Fax <b>(408) 392-9262</b> | or <input checked="" type="checkbox"/> Correspondence address below<br>Reg. No. 52,094 |

 17497 U.S. PTO  
 10/800190


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Please type a plus sign (+) inside this box =>



## 19. Fee calculations.

| CLAIMS<br>(Number Filed) | (1) FOR  | (2) |   | (3) NUMBER<br>EXTRA |   | (4) RATE   |   | (5)<br>CALCULATIONS |
|--------------------------|--|-----|---|---------------------|---|--|---|---------------------|
| 11                       | TOTAL CLAIMS<br>(37 CFR 1.16(c))                           | -20 | = |                     | x | \$18   | = | \$ 0.00             |
| 2                        | INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))                     | -3  | = |                     | x | \$84   | = | \$00.00             |
| <input type="checkbox"/> | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d)) |     |   |                     | + | \$280.00   | = |                     |
|                          |  |     |   |                     |   | BASIC FEE (37 CFR 1.16(a))   | = | \$ 770.00           |
|                          |  |     |   |                     |   | Total of above Calculations  | = | \$770.00            |
|                          |  |     |   |                     |   | Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28). | = |                     |
|                          |  |     |   |                     |   | TOTAL  | = | \$770.00            |

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **50-2257:**

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

## 22. NEW CORRESPONDENCE ADDRESS

|                                   |                                  |           |              |                                  |              |  |  |
|-----------------------------------|----------------------------------|-----------|--------------|----------------------------------|--------------|--|--|
| Customer Number or Bar Code Label |                                  |           |              | New correspondence address below |              |  |  |
| NAME                              | David S. Park                    |           |              |                                  |              |  |  |
| ADDRESS                           | 1762 Technology Drive, Suite 226 |           |              |                                  |              |  |  |
| CITY                              | San Jose                         | STATE     | CA           | ZIP CODE                         | 95110        |  |  |
| COUNTRY                           | USA                              | TELEPHONE | 949-752-7040 | FAX                              | 408-392-9262 |  |  |

## 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|  |                               |
|--|-------------------------------|
| MacPherson Kwok Chen & Heid LLP<br>1762 Technology Drive, Suite 226<br>San Jose, CA 95110<br>Tel. (949) 752-7040 Fax. (408) 392-9262 |                               |
| Date:  | 3/12/04                       |
| Name   | David S. Park Reg. No. 52,094 |
| Signature  |                               |
| Express Mail Label No.   | EV 411 212 545US              |